IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	: Stephen K. Pinto et al.		Art Unit	: 2123
Serial No.	: 10/826,949		Examiner	: Unknown
Filed	: April 16, 2004			
Title	: DIMENSION REDUCTION IN PREDICTIVE MODEL DEVELOPMENT			

MAIL STOP AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office Action on the merits. Please apply any charges or credits to deposit account no. 06-1050, referencing 17146-005001.

Respectfully submitted,

Date: 2/4/5

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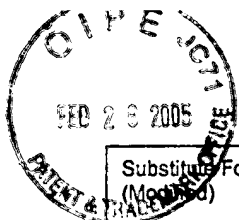Facsimile: (617) 542-8906

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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 17146-005001	Application No. 10/826,949
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Stephen K. Pinto et al.	
		Filing Date April 16, 2004	Group Art Unit 2123

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,692,107	11/25/1997	Simoudis et al.			
	AB	5,826,250	10/20/1998	Trefler			
	AC	6,185,543	02/06/2001	Galperin et al.			
	AD	6,269,325	07/31/2001	Lee et al.			
	AE	6,317,752	11/13/2001	Lee et al.			
	AF	6,640,215	10/28/2003	Galperin et al.			
	AG	6,542,894	04/01/2003	Lee et al.			
	AH	6,631,360	10/07/2003	Cook			
	AI	6,782,390	08/24/2004	Lee et al.			
	AJ	2002/0052836	05/02/2002	Galperin et al.			
	AK	2003/0018601	01/23/2003	Lee et al.			
	AL						

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation Yes	No
	AM	WO 99/22328	05/06/1999	WIPO				
	AN							
	AO							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AP	Commercial software: SPSS Clementine: www/spss.com/Clementine/index.htm
	AQ	"On-line Seminar: How to keep customers and increase profits using predictive analytics powered by Clementine", www.spss.com/spssbi/directresponse/clementinewebinar/index.cfm?deode=d4183
	AR	"SAS Enterprise Miner", www/sas.com/technologies/analytics/datamining/miner
	AS	SAS JMP, www.imp.com
	AT	Clifford C. Clogg, et al., "Multiple Imputation of Industry and Occupation Codes in Census Public-Use Samples Using Bayesian Logistic Regression", Journal of the American Statistical Association, Vol. 86, No. 413, pp. 68-78 (March 1991)

Examiner Signature	Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	BA	Roderick J.A. Little et al., <u>Statistical Analysis with Missing Data (2nd Edition)</u>, John J. Wiley & Sons, Inc., (2002), pp. 19-22; 166-188; and 292-309.
	BB	Donald B. Rubin, "Using Empirical Bayes Techniques in the Law School Validity Studies", <u>Journal of the American Statistical Association</u>, Vol. 75, No. 372, pp. 801-816 (December 1980)
	BC	
	BD	

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